BEFORE THE ARIZONA STATE

BOARD OF TECHNICAL REGISTRATION

In the Matter of:

3

4

ŝ

6

8

0

10

H

12

13

14

15

16

17

18

19

20

21

22

23

24

25

26

Case No. HI20-016

Robert S. Herrell Home Inspector Certification No. 67199 CONSENT AGREEMENT and ORDER OF DISCIPLINE

Respondent

In the interest of a prompt and judicious resolution of the above-captioned matter before the Arizona State Board of Technical Registration ("Board") and consistent with the public interest, statutory requirements, and the responsibilities of the Board, and pursuant to A.R.S. § 32-101 et seq., and A.A.C. R4-30-120(G), the undersigned party, Robert S. Herrell ("Respondent"), holder of Registration No. 67199 and the Board enter into the following Recitals, Findings of Fact, Conclusions of Law and Order ("Consent Agreement") as a final disposition of this matter.

RECITALS

- Respondent has read and understands this Consent Agreement and has had the
 opportunity to discuss this Consent Agreement with an attorney, or has waived the opportunity to
 discuss this Consent Agreement with an attorney.
- 2. Respondent understands that he has a right to a public administrative hearing concerning this case. He further acknowledges that at such formal hearing he could present evidence and cross-examine witnesses. By entering into this Consent Agreement, Respondent knowingly, voluntarily, and irrevocably waives his right to such an administrative hearing, as well as rights of rehearing, review, reconsideration, appeal, judicial review or any other administrative and/or judicial action concerning the matters set forth herein.
 - 3. Respondent affirmatively agrees that this Consent Agreement shall be irrevocable.

27 28

- 4. Respondent understands that this Consent Agreement or any part of the agreement may be considered in any future disciplinary action by the Board against him.
- 5. The Consent Agreement, any record prepared in this matter, all investigative materials prepared or received by the Board and all related exhibits and materials, are public records (as defined in A.R.S. § 41-158.18) upon acceptance by the Board of this Consent Agreement and may be retained in the Board's files pertaining to this matter.

ŝ

g

 $\lfloor ()$

....

- 6. Respondent understands this Consent Agreement deals with Board case number HI20-016 involving allegations that Respondent engaged in conduct that would subject him to discipline under the Board's statutes and rules. The investigation into these allegations against Respondent shall be concluded upon the Board's adoption of this Consent Agreement.
- 7. Respondent understands that this Consent Agreement does not constitute a dismissal or resolution of any other matters currently pending before the Board, if any, and does not constitute any waiver, express or implied, of the Board's statutory authority or jurisdiction regarding any other pending or future investigation, action or proceeding.
- 8. Respondent also understands that acceptance of this Consent Agreement does not preclude any other agency, subdivision, or officer of this State from instituting any other civil or criminal proceedings with respect to the conduct that is the subject of this Consent Agreement.
- 9. Respondent acknowledges and agrees that, upon signing this Consent Agreement and returning this document to the Board's Executive Director, he may not revoke his acceptance of the Consent Agreement or make any modifications to the document regardless of whether the Consent Agreement has been signed on behalf of the Board. Any modification to this original document is ineffective and void unless mutually agreed by the parties in writing.
- 10. This Consent Agreement is subject to the approval of the Board and is effective only when accepted by the Board and signed on behalf of the Board. If the Board does not accept this Consent Agreement, the Board retains its authority to hold a formal administrative hearing pursuant to A.R.S. § 32-128(E). In the event that the Board does not approve this Consent Agreement, it is withdrawn and shall be of no evidentiary value and shall not be relied upon nor introduced in any action by any party, except that the parties agree that should the Board reject

this Consent Agreement and this case proceeds to hearing, Respondent shall assert no claim that the Board was prejudiced by its review and discussion of this document or any records relating thereto.

- 11. If a court of competent jurisdiction rules that any part of this Consent Agreement is void or otherwise unenforceable, the remainder of the Consent Agreement shall remain in full force and effect.
- 12. Respondent understands that any violation of this Consent Agreement may result in disciplinary action, including suspension or revocation of the registration under A.R.S. § 32-150.
- 13. Respondent agrees that the Board will adopt the following Findings of Fact, Conclusions of Law and Order.

FINDINGS OF FACT

- 1. The Board is the duly constituted authority for the regulation and control of the practice of Home Inspection in the State of Arizona.
 - 2. Respondent is the holder of Arizona Home Inspector Certification No.67199.
- 3. On or about June 10, 2019, Respondent conducted a Home Inspection at 956 W. La Jolla Drive., Tempe, AZ.
- 4. On or about October 30, 2019, the Board received a complaint alleging that Respondent failed to conduct a home inspection in accordance with the Standards of Professional Practice by failing to report on the adverse condition of the air distribution duct work and failed to accurately report on the amount of insulation in the attic.
- 5. On or about February 4, 2020, the Board's Enforcement Advisory Committee ("EAC") convened to review the complaint against Respondent. After reviewing the evidence and interviewing Respondent, the Committee did not substantiate the allegations but found that Respondent failed to conduct a home inspection in accordance with the Standards of Professional Practice for Arizona Home Inspectors ("S.O.P.") and found that:
 - a. Respondent failed to report on the condition of the floor structure as required in S.O.P. #4.1,
 - b. Respondent failed to report on the condition of the wall structure as

1-1000	required in S.O.P. #4.1,
2	c. Respondent failed to report on the condition of the roof/ceiling as required
4.0	in S.O.P. #4.1,
4. j.	d. Respondent failed to report on the operations of all exteriors doors as
5	required in S.O.P. #5.1,
6	e. Respondent failed to report on the condition of windows as required in
7	S.O.P. #5.1,
8	f. Respondent failed to report on the condition of the interior supply and
9	distribution piping as required in S.O.P. #7.1,
- 10	g. Respondent failed to report on the condition of the waste and vent piping
	system as required in S.O.P. #7.1,
12	h. Respondent failed to report on the condition of the breakers as required in
	S.O.P. #8.1,
- Vandar	i. Respondent failed to report on the location and condition of the main and
-15	distribution panels as required in S.O.P. #8.1,
16	j. Respondent failed to report on the condition of the lights and switches as
0000	required in S.O.P. #8.1,
18	k. Respondent failed to report on the condition of the heating equipment as
19	required in S.O.P. #9.1,
20	1. Respondent failed to report on the type of energy source as required in
21	S.O.P. #9.1,
22	m. Respondent failed to report on the condition of the automatic safety controls
23	as required in S.O.P. #9.1,
24	n. Respondent failed to report on the condition of air filters as required in
25	S.O.P. #9.1,
26	o. Respondent failed to report on the type of energy source as required in
27	S.O.P. #10.1,
28	p. Respondent failed to report on the condition of the air filters as required in

S.O.P. #10.1, q. Respondent failed to report on the condition of the counters and cabinetry as required in S.O.P. #11.1, r. Respondent failed to report on the condition of fire separation walls and ceilings as required in S.O.P. #11.1, s. Respondent failed to report on the condition of the attic ventilation as required in S.O.P. #12.1, t. Respondent failed to report on the type and condition of the vapor retarder 8 as required in S.O.P. #12.1 and #12.2. **CONCLUSIONS OF LAW** 10 1. The Board has jurisdiction in this matter pursuant to A.R.S. § 32-101, et seq. 1 2. The conduct alleged in the Findings of Fact constitutes grounds for discipline 17 pursuant to A.R.S. § 32-128(C)(4) as it relates to A.A.C. R4-30-301.01, in that Respondent L3 failed to conduct a Home Inspection in accordance with the Standards of Professional Practice for [2] Arizona Home Inspectors. 15 ORDER 16 Based on the foregoing Findings of Fact and Conclusions of Law, the Board issues the following 17 Order: 18 1. LETTER OF REPRIMAND. Respondent is hereby issued a Letter of Reprimand. 19 2. RESTITUTION. Within thirty (30) days from the effective date of this Consent Agreement, Respondent shall pay restitution to the client, Axel Olson, in the amount of Three 21 Hundred and Fifty-Five Dollars (\$355.00) for the cost of the home inspection fee related to case 22 HI20-016. Respondent shall provide proof of payment made to the client, such as a copy of the 23 check, to the Board of Technical Registration showing payment was made to the client. 2.1 ADMINISTRATIVE PENALTY. Within thirty (30) days from the effective 25 date of this Consent Agreement, Respondent shall pay an administrative penalty of Two Hundred 26 and Fifty Dollars (\$250.00) by certified check or money order made payable to the State of 27 Arizona Board of Technical Registration.

- 4. COST OF INVESTIGATION. Within Sixty (60) days from the effective date of this Consent Agreement, Respondent shall pay the cost of investigation of this case to the Board in the amount of Four Hundred and Eleven Dollars (\$411.00) by certified check or money order made payable to the State of Arizona Board of Technical Registration, according to the provisions of A.R.S. § 32-128(H).
- 5. OBEY ALL LAWS. Respondent shall obey all federal, state and local laws, as well as, all rules governing the practice of Home Inspection in the State of Arizona. The Board shall consider any violation of this paragraph to be a separate violation of the rules and statutes governing the Arizona Board of Technical Registration. The Board may also consider Respondent's non-compliance with this Order as a separate violation of A.R.S. § 32-150.
- 6. RENEWAL OF REGISTRATION. Respondent shall timely renew his Arizona registration as a Home Inspector, and timely pay all required registration fees.
- 7. EFFECTIVE DATE. The effective date of this Consent Agreement is the date the Respondent and Board sign the Consent Agreement. If the dates are different, the effective date is the later of the two dates.
- 8. COSTS OF COMPLIANCE. Respondent shall pay all costs associated with complying with this Consent Agreement.
- 9. NONCOMPLIANCE. If Respondent violates this Order in any way or fails to fulfill the requirements of this Order, the Board, after giving notice and the opportunity to be heard, may revoke, suspend or take other disciplinary actions against the registration. The issue at such a hearing will be limited solely to whether this Order has been violated.

ACCEPTED and ORDERED this 28th day of APRIL , 2020.

Yason E. Foose, R.L.S., Chairman

Arizona State Board of

Technical Registration

1	Consent Agreement and Order, No. HI20-016 accepted this 24 day of February, 2020.
2	
**:	2. Scott Hun
4	Robert S. Herrell, Respondent
5 1	ORIGINAL filed this 23 day of
6	APRIL, 2020, with:
7	Arizona State Board of Technical Registration
8	1110 W. Washington, Suite 240 Phoenix, AZ 85007
	COPY of the foregoing mailed via Certified Mail
10	No. 9214 8901 9434 4600 0097 of and First Class mail this 22 day of APRIC , 2020, to:
Parent Pa	
12	Robert S. Herrell 14647 E. Via de Palmas
13	Chandler, AZ 85249
14	
15	
16	By:
17	
18	
19	
20	
21	
27	+4
23	
24	
25	
26	
27	